

State of California
Business, Transportation and Housing Agency
Department of Transportation

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MASS TRANS FINANCIAL MATTERS
Local STIP Rail/Transit Allocations
Resolution: MFP-00-19

CTC Meeting: May 2-3, 2001

Agenda Item: 2.6a.(2)

Original Signed by

W. J. EVANS
Chief Financial Officer
May 1, 2001

FINANCIAL ALLOCATION
LOCAL STATE TRANSPORTATION IMPROVEMENT PROGRAM PROJECTS

RESOLUTION MFP-00-19

RECOMMENDATION

The Department of Transportation (Department) recommends the California Transportation Commission (Commission) approve the following resolution, allocating a total of \$33,085,000 in State Highway Account (SHA) funds, and/or Public Transportation Account (PTA) funds for eight (8) Local State Transportation Improvement Program (STIP) Rail/Transit projects on the attached Vote List.

FINANCIAL RESOLUTION

Resolved That:

A total of \$33,085,000 in State Highway Account (SHA) funds, and/or Public Transportation Account (PTA) funds, programmed in the 1998 and 2000 STIP, be allocated to the Recipient(s) for the project(s) on the attached Vote List. The project(s), as component phases or in their entirety, appear on the necessary State capital projects funding list and are entitled to participate in this allocation.

The Recipient(s) is committed to providing any required local matching funds and to fully fund implementation of the project(s) in compliance with grant funding requirements and Commission policy. For acquisitions of rail right-of-way properties, the Recipient(s) has performed, with diligence, the process of identification and remediation of any hazardous waste in the right-of-way, easements and properties, in accordance with the Commission's established "Hazardous Waste Identification and Clean-up Policy" (Resolution G-91-2).

Reimbursement of eligible costs is subject to the policies, restrictions and assurances as set forth in the Commission's policy for allocating, monitoring, and auditing local assistance grant projects, and is governed by the terms and conditions of the Fund Transfer Agreement (FTA), and subsequent amendments to the same if required, as executed between the Recipient(s) and the Department of Transportation (Department).

The Recipient(s) shall provide the Department with quarterly expenditure plans by category including any proposed changes for the balance of all funded project allocations commencing with the first quarter.

In the event that the recipient(s), or successor public entities, fail or cease to utilize the Project's properties for the intended public transit purposes or sell or transfer title of the Project(s), this Commission shall be entitled to a then present value refund, or credit, at State's option, equivalent to the proportionate funding participation by the State towards the Project(s). The credit due the Commission

in each instance, will be measured by the ratio of State and other public funding to that provided from Recipient(s). That ratio will be applied to the fair market value of the Project's property.

The Recipient(s) shall provide the Department with notification of contract award within twelve (12) months from the date of this allocation, and must complete the work to be reimbursed and the actual reimbursement within thirty-six (36) months from award of said contract, unless the Commission authorizes a waiver that extends, if permitted by statute, the period of availability of the funds.

2.6 Mass Transportation Financial Matters

Project # Allocation Amount Recipient RTPA/CTC District-County Legislative District	Location Project Description Project Funding	EA Dist-PPNO Program / Year Programmed: PA&E PS&E R/W CONST	Budget Year Item # Program Code	Allocation Amount State Total Amount
2.6a.(2) State Transportation Improvement Program (STIP) Intercity Rail Projects				
Resolution MFP-00-19				
1 \$3,000,000 CALTRANS OCTA 75-Orange Senate: 30 Assembly: 58	La Mirada to DT Junction Third Track Pacific Surfliner Corridor Design and environmental work for third main track between Norwalk and La Mirada. FUNDING PRIOR CURRENT FUTURE TOTAL State 0 \$3,000,000 \$27,000,000 \$30,000,000 ST-Fed. 0 0 0 0 Local 0 0 0 0 L-Fed. 0 0 0 0 TOTAL 0 \$3,000,000 \$27,000,000 \$30,000,000	R889SA 75-2002 IIP / 00-01 \$600,000 \$2,400,000 \$0 \$0	2000-01 301-0042 30.20.020.720 \$3,000,000	
2 \$2,900,000 CALTRANS LACMTA/OCTA 75-Los Angeles and Orange Senate: 29,30,33 Assembly: 58,60,72	La Mirada to Basta Third Track Pacific Surfliner Corridor Design and environmental work for third main track from La Mirada to Basta. FUNDING PRIOR CURRENT FUTURE TOTAL State 0 \$2,900,000 \$25,800,000 \$28,700,000 ST-Fed. 0 0 0 0 Local 0 0 0 0 L-Fed. 0 0 0 0 TOTAL 0 \$2,900,000 \$25,800,000 \$28,700,000	R890SA 75-2001 IIP / 00-01 \$580,000 \$2,320,000 \$0 \$0	2000-01 301-0042 30.20.020.720 \$2,900,000	
3 \$1,875,000 CALTRANS SBCAG 75-Santa Barbara Senate: 18 Assembly: 35	New Santa Barbara/Carpinteria Siding Pacific Surfliner Corridor Install new siding between existing double track section. (12 month extension granted in June 2000 for FY 99-00 programmed amounts.) FUNDING PRIOR CURRENT FUTURE TOTAL State 0 \$1,875,000 0 \$1,875,000 ST-Fed. 0 0 0 0 Local 0 0 0 0 L-Fed. 0 0 0 0 TOTAL 0 \$1,875,000 0 \$1,875,000	R718SA 75-9873 IIP / 99-00 \$0 \$0 \$0 \$1,875,000	2000-01 301-0042 30.20.020.720 \$1,875,000	
4 \$1,350,000 CALTRANS SBCAG 75-Santa Barbara Senate: 18 Assembly: 35	Gaviota Siding Upgrade and Centralized Traffic Control Signal System Pacific Surfliner Corridor Design and Installation of upgraded siding and new signal system. (PS&E increased from \$0 to \$200,000. CON decreased from \$1,350,000 to \$1,150,000.) FUNDING PRIOR CURRENT FUTURE TOTAL State 0 \$1,350,000 0 \$1,350,000 ST-Fed. 0 0 0 0 Local 0 0 0 0 L-Fed. 0 0 0 0 TOTAL 0 \$1,350,000 0 \$1,350,000	R716SA 75-9869 IIP / 00-01 \$0 \$0 \$200,000 \$0 \$1,350,000 \$1,150,000	2000-01 301-0042 30.20.020.720 \$1,350,000	
5 \$1,500,000 CALTRANS SLOCOG 75-San Luis Obispo Senate: 18 Assembly: 33	San Luis Obispo Centralized Traffic Control(CTC) Extension Pacific Surfliner Corridor Design and Installation of extended CTC system. (PS&E increased from \$0 to \$300,000. CON decreased from \$1,500,000 to \$1,200,000.) FUNDING PRIOR CURRENT FUTURE TOTAL State 0 \$1,500,000 0 \$1,500,000 ST-Fed. 0 0 0 0 Local 0 0 0 0 L-Fed. 0 0 0 0 TOTAL 0 \$1,500,000 0 \$1,500,000	R675SB 75-9866 IIP / 00-01 \$0 \$0 \$300,000 \$0 \$1,500,000 \$1,200,000	2000-01 301-0042 30.20.020.720 \$1,500,000	

2.6 Mass Transportation Financial Matters

6 \$1,100,000 CALTRANS <u>SLOCOG</u> 75-San Luis Obispo Senate: 18 Assembly: 33	San Luis Obispo Centralized Traffic Control Pacific Surfliner Corridor Extend centralized traffic control system. (20 month extension granted in June 2000 for FY 99-00 programmed amounts.)				R572SA 75-9857 IIP / 99-00	2000-01 301-0042 30.20.020.720	\$1,000,000
	<u>FUNDING</u>	<u>PRIOR</u>	<u>CURRENT</u>	<u>FUTURE</u>	<u>TOTAL</u>		
	State	0	\$1,100,000	0	\$1,100,000	\$0	
	ST-Fed.	0	0	0	0	\$0	
	Local	0	0	0	0	\$1,000,000	
	L-Fed.	0	0	0	0		
	TOTAL	0	\$1,100,000	0	\$1,100,000		
						RIP / 99-00	2000-01 301-0042 30.20.020.630
						\$0	\$100,000
						\$0	
						\$0	
						\$100,000	\$1,100,000
7 \$1,200,000 CALTRANS <u>MTC</u> 75-Contra Costa Senate: 7 Assembly: 11	Port Chicago Transfer Modification San Joaquin Corridor Environmental, design and preliminary engineering for transfer point between BNSF and UP railroads. (Project allocation advanced from FY 2001-02 to FY 2000-01.)				R892SA 75-2010 IIP / 01-02	2000-01 301-0042 30.20.020.720	\$1,200,000
	<u>FUNDING</u>	<u>PRIOR</u>	<u>CURRENT</u>	<u>FUTURE</u>	<u>TOTAL</u>		
	State	0	\$1,200,000	0	\$1,200,000	\$300,000	
	ST-Fed.	0	0	0	0	\$900,000	
	Local	0	0	0	0	\$0	\$1,200,000
	L-Fed.	0	0	0	0	\$0	
	TOTAL	0	\$1,200,000	0	\$1,200,000		
8 \$20,160,000 CALTRANS <u>MTC</u> 75-Alameda and Santa Clara Senate: 9,10,11,13 Assembly: 14,16,18,20,23	San Jose-Oakland Capacity Improvements Capitol Corridor Construction of track, signal and infrastructure improvements. (11 month time extension granted in May 2000 for FY 99-00 programmed amounts.)				R711SA 75-9863 IIP / 99-00	2000-01 301-0042 30.20.020.720	\$20,160,000
	<u>FUNDING</u>	<u>PRIOR</u>	<u>CURRENT</u>	<u>FUTURE</u>	<u>TOTAL</u>		
	State	\$2,540,000	\$20,160,000	0	\$22,700,000	\$0	
	ST-Fed.	0	0	0	0	\$0	
	Local	0	0	0	0	\$0	
	L-Fed.	0	0	0	0	\$20,160,000	\$20,160,000
	TOTAL	\$2,540,000	\$20,160,000	0	\$22,700,000		